09/980021 33 Rec'd PCT/PTO 30 NOV 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Andrew G. RICKMAN, et al.

Appln. No.: NOT YET ASSIGNED

Confirmation No.: NOT YET ASSIGNED

Group Art Unit: NOT YET ASSIGNED

Filed: November 30, 2001 Examiner: NOT YET ASSIGNED

For: WAVEGUIDE JUNCTION WITH SILICON NITRIDE

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as follows:

IN THE CLAIMS:

Please enter the following amended claims:

- 3. A junction structure according to claim 1, wherein the dielectric layer below the further waveguide region is silicon dioxide.
- 4. A junction structure according to claim 2, wherein the substrate comprises a layer of silicon dioxide and a layer of silicon.
- 5. A junction structure according to any of claim 2, wherein the dielectric layer also extends over the light transmitting semiconductor layer.
- 7. A junction structure according to any of claim 2, wherein there is provided an antireflective layer over said end face.

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